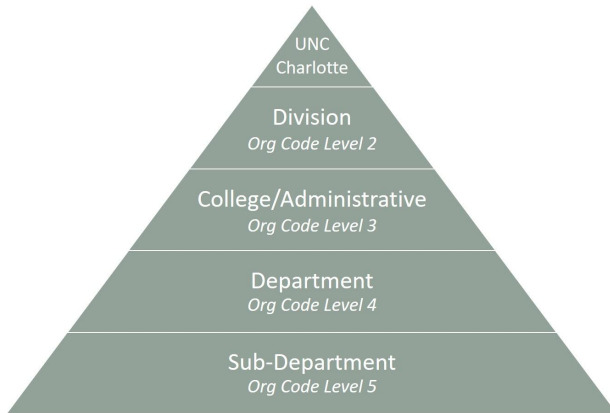


## Financial Management Guidelines ‘Snapshot’

### University’s Hierarchy (by [Banner Organization Codes](#)) & Financial Roles



### Financial Roles

<i>Head/Leader</i>	→	<i>Business Staff</i>
		Org L2
Division Head	→	Division Business Officer
		Org L3
College/Admin Head	→	College/Admin Bus. Officer
		Org L4
Department Head	→	Department Bus. Coordinator
		Org L5
Sub-Dept. Head	→	Sub-Dept. Business Coordinator

### Financial Oversight & Responsibilities

Offices	Departments: Oversight & Responsibilities
<a href="#">Budget Office</a>	<ul style="list-style-type: none"> <li>• <b>General Funds</b> (State Appropriations and Tuition): FTR - Budget Revisions</li> <li>• <b>Personnel Budgets &amp; Payroll Redistributions</b> (All Funds)</li> <li>• <b>Non-General Funds (Auxiliary &amp; Student Fees)</b></li> <li>• <b>Capital Planning</b></li> <li>• <b>Recharge Units &amp; Cost Analysis</b></li> </ul>
<a href="#">Controller’s Office</a>	<ul style="list-style-type: none"> <li>• <b>Disbursements:</b> <ul style="list-style-type: none"> <li>○ <b>Accounts Payable:</b> Vendor payments, interdepartmental invoices</li> <li>○ <b>Purchasing Card Program:</b> Payment process for purchase of select goods and services using a bank card</li> <li>○ <b>Travel:</b> Business expense and travel payments and reimbursements (<a href="#">UP 602.7</a>)</li> <li>○ <b>Vendor Relations:</b> <a href="#">Direct deposit</a> for employees and students; vendor setup and management of vendor database and program</li> </ul> </li> <li>• <b>Grant Cash Management:</b> Billing, collections, and reporting for grants and contracts</li> <li>• <b>General Accounting:</b> Petty Cash/Change Funds (<a href="#">UP 602.3</a>), debt &amp; capital management, banking (ACH/Wire transfers), FTR - Journal Entries</li> <li>• <b>Payroll Department:</b> Withholding, deductions, direct deposit (<a href="#">UP 602.1</a>), <a href="#">Form W-2</a>, Gifts/Prizes/Awards (<a href="#">UP 602.11</a>), <a href="#">salary overpayments</a>, <a href="#">MCD allowances</a></li> <li>• <b>Reporting &amp; Fixed Assets:</b> Financial statements, external audits, account code use &amp; maintenance, annual inventory, accounting for asset purchases and construction projects</li> <li>• <b>Tax Office:</b> Sales &amp; Use Tax, Non-Resident Aliens, 1099s</li> </ul>
<a href="#">Materials Management</a>	<ul style="list-style-type: none"> <li>• <b>Purchasing:</b> Purchasing (<a href="#">UP 601.11</a>) and Contracts (<a href="#">UP 603.1</a>)</li> <li>• <b>Inventory Control and Surplus</b> (<a href="#">UP 601.10</a>)</li> <li>• <b>Receiving &amp; Stores:</b> Shipping, special orders, store orders</li> </ul>

# Financial Management Guidelines Snapshot

Offices	Departments: Oversight & Responsibilities
<p><a href="#">OneIT Financial Systems Support (FSS)</a></p> <p><i>*must be connected to the network or VPN to access this system</i></p>	<ul style="list-style-type: none"> <li>• <b>Financial System Access &amp; Information Security</b> (<a href="#">UP 311</a>)</li> <li>• <b>*Banner (ERP System)</b>: Finance, student accounts receivable, research administration/grant billing receivables, HR position control, Web Time Entry (student/temporary employees), Electronic Personnel Action Form (EPAF)</li> <li>• <b>49erMart</b>: Purchase orders, invoices, supplier management portal, contracts+</li> <li>• <b>Kronos WFR</b>: Employee time &amp; leave reporting system</li> <li>• <b>Other HR Systems</b>: <a href="#">NinerTalent</a>, <a href="#">Web Time Entry</a>, <a href="#">Learning &amp; Development Portal</a></li> <li>• <b>*Reporting Tools</b>: <a href="#">Report Central</a> (Department Financial/HR Reporting), <a href="#">WebFocus</a>, <a href="#">ePrint</a> (including <a href="#">user guide</a>)</li> </ul>
<p><a href="#">Research</a></p>	<ul style="list-style-type: none"> <li>• <b>Grants &amp; Contracts Administration (GCA): Post award management</b> (Award/Fund Set Up, Banner budget entry, cost sharing (<a href="#">UP 602.6</a>), cost transfers, release time, reporting, award/fund closeout, financial reporting, subrecipient monitoring, reconciliation)</li> <li>• <b>Office of Research Services (ORS): Contract management</b>, including reviewing, negotiating, and releasing award</li> </ul>
<p><a href="#">Treasury Services</a></p>	<ul style="list-style-type: none"> <li>• <b>Office of the Bursar</b>: Cashier, University receipts and deposits (<a href="#">UP 602.4</a>), student accounts &amp; receivables, collections</li> <li>• <b>eCommerce/Merchant Services</b>: Payment acceptance and PCI compliance</li> <li>• <b>Foundation Accounting</b> for the <a href="#">University's fundraising foundations</a> and other affiliated entities (Accounting, Financial Reporting, External Audits, and Tax)</li> <li>• <b>Investments</b>: Management and administration of endowment and other investments, deficit cash management</li> <li>• <b>Trust Fund Management, debt, and compliance</b>: guidance on fund usage in accordance with <a href="#">UP 601.8</a>, trust fund maintenance/setup, debt portfolio management, and central trust fund planning and oversight</li> </ul>
<p><a href="#">University Advancement Operations</a></p>	<ul style="list-style-type: none"> <li>• <b>Gift Processing</b> (<a href="#">UP 602.2</a>)</li> <li>• <b>Contributions to Non-Affiliated Agencies</b> (<a href="#">UP 602.5</a>)</li> </ul>

Go to the [Whom do I contact?](#) table on the [Financial Services website](#) to see budget and finance contacts by topic.

The complete Financial Management Guidelines document is available on the [Financial Services website](#).

## Fund Management

- For a complete list of **Fund Definitions**, see [University Policy 601.8, Appropriate Use of Funds](#) and [Standards](#).
- For further questions about **Fund Usage**, contact the responsible office in the table below.
- For questions about **Account Code Usage**, contact [financialreporting@uncc.edu](mailto:financialreporting@uncc.edu).
- For a complete list of **Funds by Organization**, see [Report Central - Departmental Financial Reporting Fund List](#)
- To **Search for a Fund** in Banner, use FTVFUND. To **Search** for an **Account** in Banner, use FTVACCT.
- To **Request a New Fund**, complete the [Banner New Fund Request Form](#) and send it to the responsible office below.
- To **Change a Fund Title**, complete the [Banner Fund Name Change Form](#) and send it to the responsible office below.
- To **Inactivate a Fund**, contact the responsible office.

Fund Types/Ranges	Source of Money	Responsible Office(s)	Monitor Budget/Funds Available
<p><b>General Funds</b> 100000-119999</p>	<p>State Appropriations, Tuition, General Fees, &amp; Other Receipts</p>	<p>Budget Office</p>	<p>FGIBAVL FGIBDST - Budget Available</p>
<p><b>ITF: Overhead Receipts (F&amp;A/PIFA)</b> 12XXXX</p>	<p>Sponsored Programs F&amp;A Fees See <i>BOG Policy 500.5</i></p>	<p>Treasury Services</p>	<p>FGITBSR - Funds Available</p>
<p><b>ITF: Special Student Fees</b> 134XXX</p>	<p>E&amp;T, Application, Major, Fees, etc.</p>	<p>Budget Office, Affiliated Organization</p>	<p>FGITBSR - Funds Available</p>

# Financial Management Guidelines Snapshot

Fund Types/Ranges	Source of Money	Responsible Office(s)	Monitor Budget/Funds Available
<b>ITF: Unrestricted</b> 137000-139699	Research Residuals, Recharge Unit Revenue, Millennial Campus Operations, Unrestricted Gifts through Foundation	Treasury Services	FGITBSR - Funds Available
<b>ITF: Auxiliary &amp; Student Fee</b> 33XXXX	Auxiliary Services Fees/Revenues (Parking, Housing, Athletics, University Health Services)	Budget Office	FGITBSR - Funds Available
<b>ITF: Grants &amp; Contracts</b> 5XXXXX	Awards from Research Agencies (Fed, State, Non-Profit, Industry)	Grants & Contracts Administration	FRIGITD - Inception-to-Date FGIBDST - Budget Available
<b>ITF: Restricted Foundation (initially) per donor</b> 6XXXXX-669399	Scholarships, Fellowships, Restr. Gifts through Foundation	Treasury Services	FGITBSR - Funds Available
<b>Intercollegiate Athletic Funds</b> 6694XX-669999	Gifts through Foundation <i>For Athletics use only</i>	Treasury Services	FGITBSR - Funds Available
<b>Special: Fiduciary/Agency</b> 83XXXX-84XXXX	Membership Dues, Non-University related contributions/residuals	Treasury Services	FGITBSR - Funds Available
<b>Capital Funds</b> 88XXXX	State Appropriations, Debt Proceeds, Auxiliary, Student Fees	Budget Office, Controller	FGIBAVL FGIBDST - Budget Available

**Discretionary Funds**, usually marked "Disc" in the fund title, can be either **unrestricted** (i.e., undesignated donor gifts or earnings on certain central funds) or **restricted** (i.e., specifying "whom" the gift is for, but not "what"). All purchases must still have a business purpose and follow University policies and procedures.

Reference the [Banner FAQs](#) on the University FAQ site for more information.

## Document Type Matrix

[Transaction Detail](#) (Banner: FGITRND & FGIDOCR) and [Position Detail](#) (Banner: NBAJOBS, NBAPBUD, NHIDIST)

Banner Rule Code	Transaction Type	Reports & Repositories
AFC, AFR (Chart F ONLY)	Cash & Credit Card Gift Feeds	Report Central (RC) Budget Availability
BDxx	Personnel Budget Entries	RC Budget Availability, Positions & Funding Report
BNB, BN4T, BN4P	Non-General Fund Budget Entries	RC Budget Availability
B01, B02	Non-General Fund Budget Entries	RC Budget Availability
B11, B12, B14, B15, BCB, BAB	General Fund Operating Budget Entries	RC Budget Availability, Imaging System (FTR)
CS1, CH1	Cash payments, Student feeds	RC Budget Availability
Gxx	Cost sharing, Grant billing	RC Budget Availability
Hxx	Payroll	RC Budget Availability, Payroll Distribution Report
INEI, INNC, INNI, CNNI	Invoice, Accounts Payable related	RC Budget Availability, 49erMart, Imaging System
JNA, JEF, JEP	Internal charges	RC Budget Availability, Imaging System (FTR)
JN6, JT6, JCR, JR1, JCD, JD1	Journal entries, book receipts, book disbursements	RC Budget Availability, Imaging System (FTR) ePrint (YFPPCIN), Bank of America Works
JFL, JFI, JFF	Mass Journal Entries <i>JFI is only used for internal billing</i>	RC Budget Availability
JTV	Travel documents	RC Budget Availability, Imaging System
POxx	Purchase Order related	RC Budget Availability, Encumbrances, ePrint (FGROPNE)